



CURRICULUM VITAE

PERSONAL INFORMATION

Name **Tino Rombolà**
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E-mail tino.rombola@hotmail.it
Nationality **Italian**
Date of birth **25 December 1984**
Title/Qualification **Lawyer – Compliance Specialist**



PROFESSIONAL EXPERIENCE

Dates (from – to)	January 2017 – (-)
Name and address of employer	PricewaterhouseCooper Advisory S.p.A. – Milan
Type of business or sector	Consulting firm
Employment	Senior Associate - Financial Services – Regulatory Compliance
Key Accountabilities	Internal governance and internal auditing system with reference to the control grips and the evolution of the Internal Audit and Compliance Functions of Banks, Asset Management and Financial Institutions: defining methodological aspects, supporting Control Functions, review control models, integrated control system, consulting, risk assessment and gap analysis in the various regulatory areas of competence. Support for test and control activities in the areas of competence of Control Functions (transparency, usury, privacy, AML, investment services, payment services, regulatory reporting, market abuse, FATCA/CRS, ICT compliance, remuneration policy, conflicts of interest, 231/2001, market abuse). Support to the verification and control activities of adequacy and effectiveness in the areas of competence of the Control Functions



Dates (from – to)	October 2016 – January 2017
Name and address of employer	Civale Law Firm – Milan
Type of business or sector	Banking and Finance
Employment	Associate
Key Accountabilities	Judicial and consulting activity for banks and financial institutions, electronic money and payment institutions, in corporate finance, acquisition finance, restructuring and renegotiation of non-performing loans, consumer credit, mortgage lending, financial leasing and leasing, financial guarantees, banking transparency and anti-wear legislation, anti-money laundering, internal control system, outsourcing of corporate functions, promotion and placement outside of banking and financing products, networks of agents in financial affairs, credit intermediaries for Italian and international clients.



Dates (from – to)	September 2015 – September 2016
Name and address of employer	Banca Reale S.p.A. – Turin



Type of business or sector	Banking and Finance
Employment	Compliance Specialist
Key Accountabilities	Ex-ante Business consultancy whole regulatory perimeter of competence; Impact analysis and evaluation of compliance of new products and services; drafting and validation of internal regulations; ex-post evaluation activities on the adequacy of the processes and safeguards adopted with simultaneous mitigation of risks; definition and validation of training content in compliance matters; informative reporting in favor of corporate bodies, senior management and supervisory authorities.
Dates (from – to)	February 2015 – July 2015
Name and address of employer	Operari S.r.l. – Milan 
Type of business or sector	Audit, Legal, Compliance Consulting – Finance sector
Employment	Junior Legal, Compliance & Anti-Money Laundering
Key Accountabilities	Regulatory compliance activities in regulated industries (TUF, TUB, with particular reference to secondary rules of the Bank of Italy, Consob, Ivass for financial intermediaries and the management companies) and in support of anti-money laundering functions and compliance monitoring. Legal advice in corporate, banking and finance field, in business criminal law and in the field of corporate governance and corporate affairs.
Dates (from – to)	October 2013 – July 2014
Name and address of employer	Banca del Mezzogiorno – MedioCredito Centrale S.p.A. – Rome 
Type of business or sector	Banking and Finance
Employment	Compliance Junior
Key Accountabilities	Legal and Corporate Affairs - Compliance & Anti-Money Laundering: monitoring processes and normative analysis with evaluation of impact on the organization and processes of the bank; ex ante compliance assessment of new products and services; production of internal regulations; management activities compliance risk assessment aimed at assessing the risk of compliance and risk mitigation actions; definition and validation of educational contents in the field of compliance; reports on the activities undertaken for the purpose of preventing the compliance risk in favor of the Corporate Governance and Managing Boards; definition and validation of commercial and financial contracts; monitoring and updating of the organizational model pursuant to Legislative Decree n. 231/2001.
Dates (from – to)	January 2011 – December 2012
Name and address of employer	Law Firm - Rome
Type of business or sector	Law firm specializing in criminal and corporate law, criminal procedure, Criminal enforcement and Pre-trial proceedings.
Employment	Trainee Lawyer

Key Accountabilities Law firm specializing in criminal and corporate law, criminal procedure, sector Criminal enforcement and Pre-trial proceedings. Firm specializing in legal assistance - judicial and extrajudicial – in the following areas: crimes against public administration, corporate, financial and tax crimes, environmental crimes, crimes related to health and safety at work, crimes against person and property. It also carries out consultancy activities in the field of corporate liability act 231/2001 in Corporate Compliance, law on money laundering 231/2007 and protection and safety at work law 81/2008.

Dates (from – to) September 2010 – February 2011

Name and address of employer

Ministry of Economic and Finance –Banking and Finance system – Legal Affairs- Rome



Employment

Internship

Key Accountabilities

Supervision of Banking Foundations: proposal and development of primary and secondary legislation; establishment of supervisory instructions; review and approval of the statutes; verification of the requirements of members of foundation boards; monitoring of the disposal of investments held by banking foundations and adoption of relevant measures; relationships with trade associations and other relevant authorities; examination of financial statements, budgets and final accounts and adoption of relevant measures; monitoring of investments and loans; data base management of banking foundations; supervision of other foundations, supervised by the Ministry; relations with the Legislative Office in the areas of competence; care of the dispute and relations with the State Attorney.

Dates (from – to) February 2013 – December 2014

Name and address of employer

Law Review “Cassazione Penale” Giuffrè - Rome

Type of business or sector

Monthly legal review on the case-law of Criminal Supreme Court in criminal and procedural matters

Employment

Collaborator - Editor

EDUCATION AND TRAINING

Dates (from – to) October 2015 – December 2015

Name and type of organization providing education and training

A.B.I. – ABIFormazione - Milan



Principal subjects / occupational skills covered by the study

Professional course for compliance in the bank

Qualification obtained

Compliance Management Certification

Dates (from – to) Genary 2010 – Genary 2011

Name and type of organization providing education and training

LUISS Guido Carli University – Rome



Principal subjects / occupational skills covered by the study

Master in Criminal Business Law

Subject of the criminal business law, liability of companies dependent by crimes under the decree 231/2001 , corporate and tax crimes, bankruptcy and

environmental protection under criminal law of financial markets.
Thesis entitled "The dynamics of company groups in relation to corporate liability act 231/2001".
Qualification obtained **Postgraduate Master Degrees**
Grate obtained 105/110

Dates (from – to) Academic Year 2003/2004 – a. y. 2008/2009
Name and type of organization providing education and training **LUISS Guido Carli University – Rome**
Principal subjects / occupational skills covered by the study **Criminal Business Law Specialization**
Thesis in Criminal Business Law entitled “The protection of the function and the role of Consob in criminal proceedings”(Rapporteur Prof. Filippo Sgubbi).
Qualification obtained **Law Degree**
Grate obtained 105/110



Dates (from – to) 1997 – 2002
Name and type of organization providing education and training **British School Institute**
Principal subjects / occupational skills covered by the study English language course completion
Qualification obtained **F.C.E. ESOL – University of Cambridge (Level B2)**



Dates (from – to) September 1998 – July 2003
Name and type of organization providing education and training **Liceo Classico Bruno Vinci, Nicotera (VV)**
Principal subjects / occupational skills covered by the study Classical Studies
Qualification obtained **High School Diploma**
Grate obtained 97/100

LANGUAGE SKILLS

PRIMARY LANGUAGE	ITALIAN
OTHER LANGUAGE	ENGLISH
Reading skill	Excellent
Writing skill	Excellent
Speaking skill	Good

INFORMATIC SKILLS

Excellent knowledge of Microsoft Windows and Apple Macintosh, Microsoft Office package, Internet and E-mail; knowledge of some banking management systems as Cedacri, Cabel and Gianos 3d.

According to the European Regulation (EU) n. 679/2016 GDPR, I authorize the use of my personal data.

Milan, 11.10.2018

Tino Rombola